

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: Steven M.H. Wallman

SERIAL NO.: 10/627,626

FILING DATE: July 28, 2003

EXAMINER: Mr. Daniel Lawson Greene

ART UNIT: 3694

TITLE: METHOD AND APPARATUS FOR ENABLING INDIVIDUAL OR
SMALLER INVESTORS OR OTHERS TO CREATE AND MANAGE A
PORTFOLIO OF SECURITIES . . .

ATTY. DOCKET: 10392/460043

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO OFFICE ACTION

Sir:

In response to the Office action mailed on July 9, 2008 ("Office action"), the due date having been extended to December 9, 2008 by payment of the requisite extension fee, Applicant respectfully requests reconsideration of the application in light of the following amendments and remarks:

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 3 of this paper.